Variational Audio-Visual Representation Learning

Xavier Alameda-Pineda (Xavi)

Keynote Talk @ ACM Multimedia Ottawa, 1st November 2023













Why Audio-Visual Unsupervised Representation Learning?



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- Audio: wide field of hearing, only usable when sources are active.
- Video: limited field of view, rich flow of information.
- Unsupervised: avoid the need for human labels (in new environments).

Probabilistic generative models aim to learn a (parametric) distribution $p_{\theta}(x)$ that approximates the complex data distribution $p_{data}(x)$:



▶ We can jointly learn them with other probabilistic models using *maximum likelihood*.

Disclaimer: Halloween is not over



Attendee Discretion is Advised: Scary Equations Ahead

The Kullback-Leilbler (KL) divergence between two distributions writes:

$$D_{\mathsf{KL}}(p(\boldsymbol{x}) \| q(\boldsymbol{x})) = -\mathbb{E}_{p(\boldsymbol{x})} \left[\log \frac{q(\boldsymbol{x})}{p(\boldsymbol{x})} \right] = -\int_{\mathcal{X}} p(\boldsymbol{x}) \log \frac{q(\boldsymbol{x})}{p(\boldsymbol{x})} \mathrm{d}\boldsymbol{x} \begin{cases} \geq 0\\ 0 \Leftrightarrow p(\boldsymbol{x}) = q(\boldsymbol{x})\\ \neq D_{\mathsf{KL}}(q(\boldsymbol{x}) \| p(\boldsymbol{x})) \end{cases}$$

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Given a training set $\{x_i\}_{i=1}^N, x_i \sim p_{data}(x)$, ML minimizes the KL divergence:

$$\boldsymbol{\theta}^* = \underset{\boldsymbol{\theta}}{\operatorname{argmin}} D_{\mathsf{KL}} \left(p_{\mathsf{data}}(\boldsymbol{x}) \parallel p_{\boldsymbol{\theta}}(\boldsymbol{x}) \right)$$
$$\approx \boxed{\underset{\boldsymbol{\theta}}{\operatorname{argmax}} \frac{1}{N} \sum_{i=1}^{N} \log p_{\boldsymbol{\theta}}(\boldsymbol{x}_i)}$$



ML with latent variable (z) leads to EM¹ and Vl² build from (q(z) is an arbitrary distribution):

$$\log p(\boldsymbol{x}) = \underbrace{\mathbb{E}_{q(\boldsymbol{z})} \left[\log \frac{p(\boldsymbol{x})p(\boldsymbol{z}|\boldsymbol{x})}{q(\boldsymbol{z})} \right]}_{\text{M-step or VLB}} + \underbrace{D_{\text{KL}} \left(q(\boldsymbol{z}) \left\| p(\boldsymbol{z}|\boldsymbol{x}) \right)}_{\text{E-step}} \right)$$

Simple $p(\boldsymbol{z}|\boldsymbol{x})$ $q(\boldsymbol{z}) = p(\boldsymbol{z}|\boldsymbol{x})$ Closed-form! $D_{\mathsf{KI}} = 0$

¹Dempster, A.P., *et. al.*, (1977), Journal of the Royal Statistical Society. ²Jordan, M. I., *et. al.*, (1999),. Machine Learning.

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$$\frac{\text{Exact EM}}{\text{Simple } p(\boldsymbol{z}|\boldsymbol{x})} \qquad p(\boldsymbol{z}|\boldsymbol{x}) \text{ too complex}}{q(\boldsymbol{z}) = p(\boldsymbol{z}|\boldsymbol{x})} \qquad q(\boldsymbol{z}) = q_1(\boldsymbol{z}_1)q_2(\boldsymbol{z}_2)$$

$$\text{Closed-form!} \qquad q_1, q_2 = \operatorname{argmin} D_{\text{KL}}$$

$$D_{\text{KL}} = 0 \qquad D_{\text{KL}} > 0 \text{ but closed form!}$$

¹Dempster, A.P., *et. al.*, (1977), Journal of the Royal Statistical Society. ²Jordan, M. I., *et. al.*, (1999), Machine Learning.

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$$\frac{\text{Exact EM}}{\text{Simple } p(\boldsymbol{z}|\boldsymbol{x})} \qquad p(\boldsymbol{z}|\boldsymbol{x}) \text{ too complex}} \qquad \frac{\text{Variational AutoEncoder}}{p(\boldsymbol{z}|\boldsymbol{x}) ???}$$

$$q(\boldsymbol{z}) = p(\boldsymbol{z}|\boldsymbol{x}) \qquad q(\boldsymbol{z}) = q_1(\boldsymbol{z}_1)q_2(\boldsymbol{z}_2) \qquad q(\boldsymbol{z}) = q_{\phi}(\boldsymbol{z})$$
Closed-form!
$$p_{\text{KL}} = 0 \qquad D_{\text{KL}} > 0 \text{ but closed form!} \qquad D_{\text{KL}} > 0 \text{ no closed form!}$$

¹Dempster, A.P., et. al., (1977), Journal of the Royal Statistical Society. ²Jordan, M. I., et. al., (1999), Machine Learning.

Interest of Latent Variables



Visual Tracking (detections, true position)



Interest of Latent Variables



Speech Enhancement (noisy and clean signals)

1. Define the model:

 $\begin{cases} p_{\theta}(\boldsymbol{s}) \& p_{\theta}(\boldsymbol{x}|\boldsymbol{s}) & (\text{prior } \& \text{ likelihood}) \\ q_{\phi}(\boldsymbol{s}) \approx p_{\theta}(\boldsymbol{s}|\boldsymbol{x}) & (\text{approx. posterior}) \end{cases}$

Visual Tracking (detections, true position)



Interest of Latent Variables



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Visual Tracking (detections, true position)



2. Learning & inference:

 $\operatorname*{argmax}_{\boldsymbol{\theta},\boldsymbol{\phi}} \mathcal{L}_{\mathsf{ELBO}}(\boldsymbol{\theta},\boldsymbol{\phi})$

$$\operatorname*{argmax}_{\boldsymbol{s}} q_{\boldsymbol{\phi}^*}(\boldsymbol{s}|\boldsymbol{x})$$

Speech Enhancement & Wiener Filter



Extract the latent clean speech signal from the observed noisy mixture. (STFT domain)



Speech Enhancement & Wiener Filter



 $\mathsf{Minimize}\ \mathsf{MSE} \to \mathsf{Wiener}\ \mathsf{Filter}$

(operations are element-wise)

$$\hat{s} = rac{\sigma_s}{\sigma_s + \sigma_b} x$$

Extract the latent clean speech signal from the observed noisy mixture. (STFT domain)



Unsupervised Probabilistic SE: paradigm



Train – Model for clean data: $\{s_i\}_{i=1}^N$.

 θ s $p_{\theta}(s) \approx p_{data}(s)$

Then freeze θ at test/adaptation time.

Unsupervised Probabilistic SE: paradigm



Train – Model for clean data: $\{s_i\}_{i=1}^N$.

 θ \boldsymbol{s} $p_{\boldsymbol{\theta}}(\boldsymbol{s}) \approx p_{\mathsf{data}}(\boldsymbol{s})$

Then freeze θ at test/adaptation time.

Test – learn the noise parameters from noisy samples \mathbf{x} and estimate the clean speech $\hat{\mathbf{s}}$:



- Visual data (lip motion) provide complementary information about the unknown speech.
- For highly noisy audio recordings, visual information can be very helpful.



We investigate the VAE framework to fuse audio and visual data for speech enhancement.

► Can we jointly learn from AV data for SE?



Mostafa Sadeghi



Laurent Girin



Radu Horaud

Mono-modal VAEs: the baselines



$$\begin{aligned} p_{\boldsymbol{\theta}}(s_n | \boldsymbol{z}_n) &= \mathcal{N}_c \Big(\boldsymbol{0}, \mathsf{diag}(\boldsymbol{\sigma}_s(\boldsymbol{z}_n)) \Big) \\ q_{\boldsymbol{\phi}}(\boldsymbol{z}_n | \boldsymbol{s}_n) &= \mathcal{N} \Big(\boldsymbol{\mu}_{\boldsymbol{z}}^a(s_n), \mathsf{diag}(\boldsymbol{\sigma}_{\boldsymbol{z}}^a(s_n)) \Big) \end{aligned}$$

³Leglaive, S., et. al., (2018), IEEE MLSP.

Mono-modal VAEs: the baselines



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Video-only:



$$\begin{aligned} p_{\boldsymbol{\theta}}(\boldsymbol{s}_n | \boldsymbol{z}_n) &= \mathcal{N}_c \Big(\boldsymbol{0}, \operatorname{diag}(\boldsymbol{\sigma}_s(\boldsymbol{z}_n)) \Big) \\ q_{\boldsymbol{\phi}}(\boldsymbol{z}_n | \boldsymbol{v}_n) &= \mathcal{N} \Big(\boldsymbol{\mu}_{\boldsymbol{z}}^v(\boldsymbol{v}_n), \operatorname{diag}(\boldsymbol{\sigma}_{\boldsymbol{z}}^v(\boldsymbol{v}_n)) \Big) \end{aligned}$$

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$$p_{\boldsymbol{\theta}}(\boldsymbol{s}_{n}|\boldsymbol{z}_{n},\boldsymbol{v}_{n}) = \mathcal{N}_{c}\Big(\boldsymbol{0}, \operatorname{diag}(\boldsymbol{\sigma}_{s}(\boldsymbol{z}_{n},\boldsymbol{v}_{n}))\Big)$$
$$q_{\boldsymbol{\phi}}(\boldsymbol{z}_{n}|\boldsymbol{v}_{n},\boldsymbol{s}_{n}) = \mathcal{N}\Big(\boldsymbol{\mu}_{\boldsymbol{z}}^{av}(\boldsymbol{v}_{n},\boldsymbol{s}_{n}), \operatorname{diag}(\boldsymbol{\sigma}_{\boldsymbol{z}}^{av}(\boldsymbol{v}_{n},\boldsymbol{s}_{n}))\Big)$$

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What will this learn?

$$p_{\theta}(\boldsymbol{s}_n | \boldsymbol{z}_n, \boldsymbol{v}_n) = \mathcal{N}_c \Big(\boldsymbol{0}, \mathsf{diag}(\boldsymbol{\sigma}_s(\boldsymbol{z}_n, \boldsymbol{v}_n)) \Big)$$
$$q_{\phi}(\boldsymbol{z}_n | \boldsymbol{v}_n, \boldsymbol{s}_n) = \mathcal{N} \Big(\boldsymbol{\mu}_{\boldsymbol{z}}^{av}(\boldsymbol{v}_n, \boldsymbol{s}_n), \mathsf{diag}(\boldsymbol{\sigma}_{\boldsymbol{z}}^{av}(\boldsymbol{v}_n, \boldsymbol{s}_n))$$

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What will this learn?

To ignore the video!

$$p_{\theta}(s_n | \boldsymbol{z}_n, \boldsymbol{v}_n) = \mathcal{N}_c \Big(\boldsymbol{0}, \mathsf{diag}(\boldsymbol{\sigma}_s(\boldsymbol{z}_n, \boldsymbol{v}_n)) \Big)$$
$$q_{\phi}(\boldsymbol{z}_n | \boldsymbol{v}_n, \boldsymbol{s}_n) = \mathcal{N} \Big(\boldsymbol{\mu}_{\boldsymbol{z}}^{av}(\boldsymbol{v}_n, \boldsymbol{s}_n), \mathsf{diag}(\boldsymbol{\sigma}_{\boldsymbol{z}}^{av}(\boldsymbol{v}_n, \boldsymbol{s}_n)) \Big)$$

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On top of adding a video-only prior, we optimize a modified ELBO:

$$\mathcal{L}(\boldsymbol{\theta}, \boldsymbol{\phi}) = \sum_{n} (1 - \alpha) \Big(\mathbb{E}_{q_{\boldsymbol{\phi}}(\boldsymbol{z}_{n} | \boldsymbol{s}_{n}, \boldsymbol{v}_{n};)} \Big[\ln p_{\boldsymbol{\theta}}(\boldsymbol{s}_{n} | \boldsymbol{z}_{n}, \boldsymbol{v}_{n}) \Big] - D_{\mathsf{KL}} \Big(q_{\boldsymbol{\phi}}(\boldsymbol{z}_{n} | \boldsymbol{s}_{n}, \boldsymbol{v}_{n}) \parallel p_{\boldsymbol{\theta}}(\boldsymbol{z}_{n} | \boldsymbol{v}_{n}) \Big) \Big) \\ + \alpha \mathbb{E}_{p_{\boldsymbol{\theta}}(\boldsymbol{z}_{n} | \boldsymbol{v}_{n})} \Big[\ln p_{\boldsymbol{\theta}}(\boldsymbol{s}_{n} | \boldsymbol{z}_{n}, \boldsymbol{v}_{n}) \Big]$$

 $\Rightarrow \alpha > 0$ gives some reconstruction power to the visual prior!

This provides the parameters of the clean speech model θ . Let's enhance!

AV Conditional VAE for Speech Enhancement



Train – Model for clean data: $\{s_i\}_{i=1}^N$.

$$\theta, v$$
 \bullet s $p_{\theta}(s|v) \approx p_{data}(s|v)$

Then freeze θ at test/adaptation time.

AV Conditional VAE for Speech Enhancement



Test – learn the noise parameters from noisy samples \mathbf{x} and estimate the clean speech $\hat{\mathbf{s}}$:



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AV Conditional VAE for Speech Enhancement



Speech Enhancement:

(Sample \boldsymbol{z} from $p(\boldsymbol{z}|\boldsymbol{x}_n, \boldsymbol{v}_n)$)

$$\hat{\boldsymbol{s}}_n = \left(\frac{1}{R}\sum_{r=1}^R \frac{\boldsymbol{\sigma}_{\boldsymbol{s}}(\boldsymbol{z}^{(r)}, \boldsymbol{v}_n)}{\boldsymbol{\sigma}_{\boldsymbol{s}}(\boldsymbol{z}^{(r)}, \boldsymbol{v}_n) + \boldsymbol{\psi}_n^*}\right) \boldsymbol{x}_n.$$

Wiener-like filter!

Test – learn the noise parameters from noisy samples \mathbf{x} and estimate the clean speech $\hat{\mathbf{s}}$:



NTCD-TIMIT dataset:⁵ AV recordings in controlled conditions, 5h/39 speakers training, 1h/9 speakers test, several noise levels (-15 to 15 dB) and types (car, living room, etc). **Metrics** improvement w.r.t. the noisy mixture: perceptual evaluation of speech quality (PESQ), signal-to-distortion ratio (SDR), Short-time objective intelligibility (STOI).



Examples: https://team.inria.fr/robotlearn/research/av-vae-se/

⁵Abdelaziz, A.H., (2017), Interspeech.

Limitations: systematic AV fusion

From the model design:

.



Limitations: systematic AV fusion

From the model design:





. What about clutter?

We ALWAYS concatenate audio and video information.

► Can we unsupervisedly select what to use?



Mostafa Sadeghi



$$\begin{split} \pmb{\alpha}_n \text{ is the "mixing" latent variable.} \\ \begin{cases} \pmb{\alpha}_n = 1 \leftrightarrow \text{Audio-only} \\ \pmb{\alpha}_n = 0 \leftrightarrow \text{Audio-Visual} \\ \\ \text{Prior: } p(\alpha_n) = \pi^{\alpha_n} (1-\pi)^{1-\alpha_n}. \end{split}$$

The Audio-only and the Audio-Visual VAE are **mixed without supervision**.

⁶Sadeghi, M., et. al., (2021), IEEE ICASSP.



• Train A-VAE (with s) and AV-VAE (with (s, v)).



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- Learn ψ^* and estimate the clean speech. By defining $\gamma_n(\boldsymbol{z}_n, v_n) = \left(\pi_n(\boldsymbol{\sigma}_s^a(\boldsymbol{z}_n))^{-1} + (1 \pi_n)(\boldsymbol{\sigma}_s^{av}(\boldsymbol{z}_n, v_n))^{-1}\right)^{-1}$

Train, adaptation & speech enhancement



- Train A-VAE (with s) and AV-VAE (with (s, v)).
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- Learn $\boldsymbol{\psi}^*$ and estimate the clean speech. By defining $\gamma_n(\boldsymbol{z}_n, \boldsymbol{v}_n) = \left(\pi_n(\boldsymbol{\sigma}_s^a(\boldsymbol{z}_n))^{-1} + (1 \pi_n)(\boldsymbol{\sigma}_s^{av}(\boldsymbol{z}_n, \boldsymbol{v}_n))^{-1}\right)^{-1}$:

$$\hat{oldsymbol{s}}_n = rac{1}{R}\sum_{r=1}^R rac{oldsymbol{\gamma}_n(oldsymbol{z}_n^{(r)},oldsymbol{v}_n)}{oldsymbol{\gamma}_n(oldsymbol{z}_n^{(r)},oldsymbol{v}_n)+oldsymbol{\psi}_n^*}\,oldsymbol{x}_n$$

- Data & models: Same dataset + pre-trained A-VAE and AV-VAE.
- Setup: Very similar than in the previous experiments. Clean and noisy lips region visual information (\sim one-third of total video frames per sample).

Improvement with respect to the input:



- Data & models: Same dataset + pre-trained A-VAE and AV-VAE.
- Setup: Very similar than in the previous experiments. Clean and noisy lips region visual information (\sim one-third of total video frames per sample).

Improvement with respect to the input:





For the same input s, we compute:

- An audio-based reconstruction s^a .
- An AV-based reconstruction s^{av} .

Not necessarily equal.

This is strange!!! We have proposed a model with a single decoder.⁷

⁷Sadeghi, M., et. al., (2021), IEEE TSP.

Conditional VAE, VAE-MM and Mixture of Inference Networks VAE



Conditional VAE:

- Training VAE via SGD.
- Systematic AV fusion.
- Appeared at IEEE TASLP in 2020.



VAE-MM:

- Training two VAEs via SGD.
- Mixing (AV fusion) via two speech models.
- Appeared at IEEE ICASSP in 2021.



MIN-VAE:

- Training all 3 networks via VEM+SGD.
- Mixing (AV fusion) via a single speech model.
- Appeared at IEEE TSP in 2021.

Strong limitation of VAEs: frame modeled independently.



Frames are modeled independently, we need time/sequential modeling!

 Dynamical VAE (DVAE⁸) – "VAE for sequential modeling" (family of methods including existing literature)



$$p_{\boldsymbol{\theta}}^{\text{DVAE}}(\boldsymbol{x}_{1:T}, \boldsymbol{z}_{1:T}) \neq \prod_{t=1}^{T} p_{\boldsymbol{\theta}}(\boldsymbol{x}_t, \boldsymbol{z}_t) = p_{\boldsymbol{\theta}}^{\text{VAE}}(\boldsymbol{x}_{1:T}, \boldsymbol{z}_{1:T}).$$



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We would like to model sequences of observations $(x_{1:T})$ and latent variables $(z_{1:T})$:

$$p_{\boldsymbol{\theta}}^{\text{DVAE}}(\boldsymbol{x}_{1:T}, \boldsymbol{z}_{1:T}) \neq \prod_{t=1}^{T} p_{\boldsymbol{\theta}}(\boldsymbol{x}_t, \boldsymbol{z}_t) = p_{\boldsymbol{\theta}}^{\text{VAE}}(\boldsymbol{x}_{1:T}, \boldsymbol{z}_{1:T}).$$



$$p_{\theta}(\boldsymbol{x}_{1:T}, \boldsymbol{z}_{1:T}) = \prod_{t=1}^{T} p_{\theta}(\boldsymbol{z}_t | \boldsymbol{x}_{1:t-1}, \boldsymbol{z}_{1:t-1}) p_{\theta}(\boldsymbol{x}_t | \boldsymbol{x}_{1:t-1}, \boldsymbol{z}_{1:t})$$

The *prior* distribution $p_{\theta}(z_{t+1}|z_{1:t}, x_{1:t})$ is now conditional, parametric, and might be auto-regressive (AR).

 $p_{\boldsymbol{\theta}}(\boldsymbol{x}_{t+1}|\boldsymbol{z}_{1:t+1}, \boldsymbol{x}_{1:t})$ might be AR as well.

As in the VAE, we need to approximate the posterior distribution:

 $p_{\theta}(\boldsymbol{z}_{1:T}|\boldsymbol{x}_{1:T}) = q_{\phi}(\boldsymbol{z}_{1:T}|\boldsymbol{x}_{1:T})$

⁹Bishop, C. M., (2006).

As in the VAE, we need to approximate the posterior distribution:

$$p_{\boldsymbol{\theta}}(\boldsymbol{z}_{1:T}|\boldsymbol{x}_{1:T}) = q_{\boldsymbol{\phi}}(\boldsymbol{z}_{1:T}|\boldsymbol{x}_{1:T})$$

We can always use the Bayes theorem to write:

$$q_{\phi}(\boldsymbol{z}_{1:T}|\boldsymbol{x}_{1:T}) = \prod_{t=1}^{T} q_{\phi}(\boldsymbol{z}_{t}|\boldsymbol{z}_{1:t-1}, \boldsymbol{x}_{1:T})$$

Can we simplify each of the terms further? It depends on generative model. Use D-separation⁹ to find out the true dependencies, then choose whether to keep them (e.g. to ensure causality).

⁹Bishop, C. M., (2006).

Let's take the general DVAE decoder:

$$p_{\theta}(\boldsymbol{x}_{1:T}, \boldsymbol{z}_{1:T}) = \prod_{t=1}^{T} p_{\theta_{\boldsymbol{z}}}(\boldsymbol{z}_t | \boldsymbol{x}_{1:t-1}, \boldsymbol{z}_{1:t-1}) p_{\theta_{\boldsymbol{x}}}(\boldsymbol{x}_t | \boldsymbol{x}_{1:t-1}, \boldsymbol{z}_{1:t}).$$

 $^{^{10}{\}rm Several}$ DVAEs @ https://github.com/XiaoyuBIE1994/DVAE-speech

Let's take the general DVAE decoder:

$$p_{\theta}(\boldsymbol{x}_{1:T}, \boldsymbol{z}_{1:T}) = \prod_{t=1}^{T} p_{\theta_{\boldsymbol{z}}}(\boldsymbol{z}_{t} | \boldsymbol{x}_{1:t-1}, \boldsymbol{z}_{1:t-1}) p_{\theta_{\boldsymbol{x}}}(\boldsymbol{x}_{t} | \boldsymbol{x}_{1:t-1}, \boldsymbol{z}_{1:t}).$$

The generative distributions can be implemented with an RNN:

$$- \mathbf{h}_{t} = \sigma(\mathbf{W}_{xh}\mathbf{x}_{t-1} + \mathbf{W}_{zh}\mathbf{z}_{t-1} + \mathbf{W}_{hh}\mathbf{h}_{t-1} + \mathbf{b}_{h}),$$

$$- \eta_{0} \left(\mathbf{z}_{t} | \mathbf{x}_{1,t-1} | \mathbf{z}_{1,t-1} \right) = \mathcal{N}\left(\mathbf{z}_{t} : \mathbf{u}_{0} \left(\mathbf{h}_{t}\right) \operatorname{diag}\{\mathbf{y}_{0} \left(\mathbf{h}_{t}\right)\}\right)$$

$$-p\theta_{\boldsymbol{z}}(\boldsymbol{z}_{t}|\boldsymbol{x}_{1:t-1},\boldsymbol{z}_{1:t-1}) - \mathcal{N}\left(\boldsymbol{z}_{t},\boldsymbol{\mu}_{\boldsymbol{\theta}_{\boldsymbol{z}}}(\boldsymbol{u}_{t}),\operatorname{diag}\{\boldsymbol{v}_{\boldsymbol{\theta}_{\boldsymbol{z}}}(\boldsymbol{u}_{t})\}\right),$$

$$- p_{\boldsymbol{\theta}_x}(\boldsymbol{x}_t | \boldsymbol{x}_{1:t-1}, \boldsymbol{z}_{1:t}) = \mathcal{N}\Big(\boldsymbol{x}_t; \boldsymbol{\mu}_{\boldsymbol{\theta}_x}(\boldsymbol{z}_t, \boldsymbol{h}_t), \mathsf{diag}\{\boldsymbol{v}_{\boldsymbol{\theta}_x}(\boldsymbol{z}_t, \boldsymbol{h}_t)\}\Big).$$

There are many possible implementations¹⁰ for the same probabilistic dependencies!!!

 $^{^{10}{\}rm Several}$ DVAEs @ https://github.com/XiaoyuBIE1994/DVAE-speech

The objective is build in the same way as VAEs, but looks different:

$$\begin{aligned} \mathcal{L}(\boldsymbol{x}_{1:T}; \boldsymbol{\phi}, \boldsymbol{\theta}) &\stackrel{?}{=} \sum_{t=1}^{T} \mathbb{E}_{q_{\boldsymbol{\phi}}(\boldsymbol{z}_{t} | \boldsymbol{x}_{1:T})} \underbrace{\ln p_{\boldsymbol{\theta}_{\boldsymbol{x}}}(\boldsymbol{x}_{t} | \boldsymbol{x}_{1:t-1}, \boldsymbol{z}_{1:t})}_{\text{Reconstruction}} \\ &- \sum_{t=1}^{T} \underbrace{D_{\mathsf{KL}} \Big(q_{\boldsymbol{\phi}}(\boldsymbol{z}_{t} | \boldsymbol{z}_{1:t-1}, \boldsymbol{x}_{1:T}) \, \Big\| \, p_{\boldsymbol{\theta}_{\boldsymbol{z}}}(\boldsymbol{z}_{t} | \boldsymbol{x}_{1:t-1}, \boldsymbol{z}_{1:t-1}) \Big)}_{\text{Regularization}} \end{aligned}$$

• The reconstruction and regularisation terms are evaluated at every frame t.

The objective is build in the same way as VAEs, but looks different:

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- The reconstruction and regularisation terms are evaluated at every frame t.
- Because of the model, the KL term depends on previous latent variables \Rightarrow sampling.
- The sampling occurs sequentially and cannot be paralelized!



Train – Model θ for clean data: $\{s_{1:T}\}$.



Application to Unsupervised Probabilistic SE (revisit)





Test – learn the noise parameters from noisy samples x and estimate the clean speech $\hat{s}_{1:T}$:





Method	Superv.	Test	Train	SI-SDR (dB)	Train	SI-SDR (dB)
Noisy mixture	-		-	-2.6	-	-2.6
VAE-VEM (ICASSP'20)	None	F		5.0		
RVAE-VEM (Proposed)	None	ğ	۵ U	5.8		
MetricGAN-U (ICASSP'22)	Partial	+[:	an	N/A		
UMX* 2020	Full	\mathbb{A}	S	<u>5.7</u>		
MetricGAN+* (Interspeech'21)	Full			3.6		
Noisy mixture	-					
VAE-VEM (ICASSP'20)	None					
RVAE-VEM (Proposed)	None					
NyTT EUSIPCO'21	Partial/Xtra					
MetricGAN-U (half) ICASSP'22	Partial					
UMX 2020	Full					
MetricGAN+ Interspeech'21	Full					

Results in dB: **best** and <u>second best</u> per section.

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Noisy mixture	-		-	-2.6	-	-2.6
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MetricGAN-U (ICASSP'22)	Partial	+Lo	am	N/A		
UMX* 2020	Full	\mathbb{A}	S	<u>5.7</u>		
MetricGAN+* (Interspeech'21)	Full			3.6		
Noisy mixture	-		-	8.4	-	8.4
VAE-VEM (ICASSP'20)	None			16.4		
RVAE-VEM (Proposed)	None	4D		<u>17.1</u>		
NyTT EUSIPCO'21	Partial/Xtra	Ŋ	ne	17.7		
MetricGAN-U (half) ICASSP'22	Partial	Ϋ́Β	Sar	8.2		
UMX 2020	Full			14.0		
MetricGAN+ Interspeech'21	Full			8.5		

Results in dB: **best** and <u>second best</u> per section.

Method	Superv.	Test	Train	SI-SDR (dB)	Train	SI-SDR (dB)
Noisy mixture	-		-	-2.6	-	-2.6
VAE-VEM (ICASSP'20)	None	F		5.0		3.8
RVAE-VEM (Proposed)	None	٩ ٩	U	5.8	ent	4.3
MetricGAN-U (ICASSP'22)	Partial	+Lo	am	N/A	fere	-1.6
UMX* 2020	Full	\mathbb{A}	S	<u>5.7</u>	Dif	<u>4.1</u>
MetricGAN+* (Interspeech'21)	Full			3.6		1.8
Noisy mixture	-		-	8.4	-	8.4
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NyTT EUSIPCO'21	Partial/Xtra	Ū,	ne	17.7		
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Noisy mixture	-		-	-2.6	-	-2.6
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UMX* 2020	Full	\mathbb{A}	S	<u>5.7</u>	Dif	<u>4.1</u>
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Noisy mixture	-		-	8.4	-	8.4
VAE-VEM (ICASSP'20)	None			16.4		<u>15.0</u>
RVAE-VEM (Proposed)	None	4D		<u>17.1</u>	ų	17.3
NyTT EUSIPCO'21	Partial/Xtra	Q	ne	17.7	ren	N/A
MetricGAN-U (half) ICASSP'22	Partial	Νġ	Sar	8.2	iffe	N/A
UMX 2020	Full			14.0		10.4
MetricGAN+ Interspeech'21	Full			8.5		3.9

Results in dB: **best** and <u>second best</u> per section.

DVAEs are good (great!) at temporal modeling! All implementations use RNN (or variants).

► What about transformers?



Xiaoyu Bie



Wen Guo



Simon Leglaive



Francesc Moreno



Laurent Girin

Introducing HIT-DVAE¹²

Hierarchical Transformer DVAE – two levels of latent variables: static w and dynamic $z_{1:T}$.



¹²Bie, X., et. al., (2023), Pre-print.

Introducing HIT-DVAE¹²

Hierarchical Transformer DVAE – two levels of latent variables: static w and dynamic $z_{1:T}$.



There is something odd in the way Q, K, V are used...

¹²Bie, X., et. al., (2023), Pre-print.

HIT-DVAE: Modified transformer architecture

Task: human motion modeling/generation. Predicting x_{O+1} from $x_{1:O}$ is very easy.¹³



¹³Guo., W., et. al., (2021), IEEE WACV.

HIT-DVAE: Modified transformer architecture

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HIT-DVAE: Modified transformer architecture

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¹³Guo., W., et. al., (2021), IEEE WACV.

HIT-DVAE: Results



Good trade-off between diversity, quality and accuracy. Also useful for audio modeling.¹⁴

¹⁴Lin, X., et. al., (2023), IEEE ICASSP.

DVAEs can model mono-modal sequences.

► What about multiple modalities?



Samir Sadok



Simon Leglaive



Renaud Séguier



Laurent Girin



VAEs (left) can be multi-modal¹⁵ (right). Can DVAEs (middle) be multi-modal too?

¹⁵Sutter, T. M., et. al., (2021), ICLR.
Task: emotional audio-visual speech modeling.¹⁶



What should we model?

- Static AV
 - (ID, emotion)
- Dynamic AV (lip-audio corr.)
- Dynamic A (other audio features)
- Dynamic V (eye AUs).

Introducing MDVAEs¹⁷



¹⁷Sadok, S., et. al., (2023), Under review Neural Networks.

The VQ-MDVAE Architecture



(i) Quantize auditory and visual features. (ii) Use MDVAE to model the quantized features.

Let's see a couple of videos on:

- latent variable transfer,
- latent variable interpolation.

Earlier, we combined (static) VAEs with mixing latents.

► Is it possible/useful with DVAEs?



Xiaoyu Lin



Laurent Girin

Motivating application: unsupervised multiple object tracking



Question: Can we model the non-linear dynamics with a DVAE, and have an assignment mechanism within the same ML formulation?



¹⁸Lin, X., *et. al.*, (2023), Under review.



¹⁸Lin, X., et. al., (2023), Under review.







¹⁸Lin, X., et. al., (2023), Under review.



¹⁸Lin, X., et. al., (2023), Under review.

$$\boldsymbol{\mu}_n = \sum_k \underbrace{\eta_{kn}}_{\text{assign.}} \boldsymbol{o}_k$$

$$\boldsymbol{\mu}_n = \sum_k \underbrace{\eta_{kn}}_{ ext{assign.}} \boldsymbol{o}_k$$

Kalman update (sequence of T obs):

$$s_t = \underbrace{P_t o_t}_{ ext{undate}} + \underbrace{T_t s_{t-1}}_{ ext{undate}}$$

update prediction

$$oldsymbol{\mu}_n = \sum_k \underbrace{\eta_{kn}}_{ ext{assign.}} oldsymbol{o}_k$$

$$s_t = \underbrace{ oldsymbol{P}_t oldsymbol{o}_t }_{ ext{update}} + \underbrace{ oldsymbol{T}_t oldsymbol{s}_{t-1} }_{ ext{prediction}}$$

MixDVAE update is a combination:
$$s_{tn} = \mathbf{P}_t \sum_{k} \eta_{kn} \mathbf{o}_{tk} + \underbrace{\mathbf{T}_t(\mathbf{s}_{1:t-1})}_{\text{non-lin. prediction}}$$

$$oldsymbol{\mu}_n = \sum_k \underbrace{\eta_{kn}}_{ ext{assign.}} oldsymbol{o}_k$$



$$s_t = \underbrace{P_t o_t}_{\text{update}} + \underbrace{T_t s_{t-1}}_{\text{prediction}}$$

$$\mathsf{MixDVAE} \text{ update is a combination:} \quad \boldsymbol{s}_{tn} = \underbrace{\boldsymbol{P}_t \sum_k \eta_{kn} \boldsymbol{o}_{tk}}_{\text{assig. \& update}} + \underbrace{\boldsymbol{T}_t(\boldsymbol{s}_{1:t-1})}_{\text{non-lin. prediction}}$$

- Results in unsupervised MOT and in semi-blind source separation.
- Work in progress: fine-tuning, complexity, learning from noise, ...

	Mono-modal	Multi-modal	Mixtures
Static	VAE [Kingma'14] VQ-VAE [van den Oord'17]		
Dynamic			

	Mono-modal	Multi-modal	Mixtures
Static	VAE [Kingma'14] VQ-VAE [van den Oord'17]	CVAE [Sadeghi'20] MVAE [Sutter'21]	
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	Mono-modal	Multi-modal	Mixtures
Static	VAE [Kingma'14] VQ-VAE [van den Oord'17]	CVAE [Sadeghi'20] MVAE [Sutter'21]	VAE-MM [Sadeghi'20] MIN-VAE [Sadeghi'21]
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	Mono-modal	Multi-modal	Mixtures
Static	VAE [Kingma'14] VQ-VAE [van den Oord'17]	CVAE [Sadeghi'20] MVAE [Sutter'21]	VAE-MM [Sadeghi'20] MIN-VAE [Sadeghi'21]
Dynamic	DVAE [Girin'21] Sw-VAE [Sadeghi'21]		

	Mono-modal	Multi-modal	Mixtures
Static	VAE [Kingma'14] VQ-VAE [van den Oord'17]	CVAE [Sadeghi'20] MVAE [Sutter'21]	VAE-MM [Sadeghi'20] MIN-VAE [Sadeghi'21]
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	Mono-modal	Multi-modal	Mixtures
Static	VAE [Kingma'14] VQ-VAE [van den Oord'17]	CVAE [Sadeghi'20] MVAE [Sutter'21]	VAE-MM [Sadeghi'20] MIN-VAE [Sadeghi'21]
Dynamic	DVAE [Girin'21] Sw-VAE [Sadeghi'21]	VQ-MDVAE [Sadok'23]	MixDVAE [Lin'23]

- Variational inference provides a general framework for multi-modal unsupervised learning.
- It is specially suitable for low-data regimes.
- Recent models (VAE, DVAE, VQ-VAE) can be combined with more classical ones (mixture models, HMM) within the same probabilistic paradigm.
- The probabilistic/maximum likelihood paradigm provides a principled way for disentangling representations and interpreting them.

Open questions:

- $\bullet\,$ Computational complexity is an issue when involving EM/VEM algorithms.
- These methods MUST be extended/rethought for other modalities.
- Understand and develop opportunities with other families (Diffusion, Flows, ...).

Social Robotics, Artificial Intelligence and Multimedia

Grenoble (FR) 19th-23rd, February, 2024. No fee!

Prof. Hatice Gunes, University of Cambridge Prof. Gabriel Skantze, KTH Stockholm Prof. Raja Chatila, Sorbonne Université Prof. Marc Hanheide, University of Lincoln Prof. Xuesu Xiao, George Mason University Prof. Antonios Gasteratos, Democritus U. of Thrace Prof. Wenwu Wang, University of Surrey Dr. Vasiliki Charisi, JRC European Comission





for bearing with me!

to my colleagues & collaborators!

for your challenging questions & interesting discussion.

We are also interested in metalearning, reinforcement learning, domain adaptation, etc.